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**Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c)**  
 "EXPRESS MAIL" Mailing Label Number: ER 387492777 US Date of Deposit: 4-1-2004  
 I hereby certify that this paper and/or fee is being deposited with the United States Postal Service  
 "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated  
 above and is addressed to the Assistant Commissioner For Patents, Washington, DC 20231.

Name: Peter Su  
4-1-2004  
 Signature Date

  
 Signature
Docket No.: AVA-P045

## APPLICATION TRANSMITTAL LETTER

### **Mail Stop: Patent Application**

Commissioner of Patents  
 United States Patent and Trademark Office  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventors: **Emmanuel AUDIC, Franck DEBLOCK, Elisabeth LECLERC, Gilles SORHOUETGARY,  
 Alexandre SHERR and Henri Jean EGGER**

Entitled: **"System and Method for a Compact Optical Receiver with Wide Dynamic Range"**

19 No. of pages of specification, including title page, claims and abstract

6 No. of sheets of X informal,        formal drawings

Also enclosed are:

Executed Combined Declaration and Power of Attorney for Patent Application  
 An Original Executed Assignment of the Application  
 Form PTO-1595 (Recordation Cover Sheet for Assignment)  
 Application Data Sheet (6 pages)  
 An Information Disclosure Statement (Form PTO-1449A and Form PTO-1449B)  
 A copy of References cited in Information Disclosure: 1 document(s)

### **FEES DUE**

       Applicant Claims Small Entity Status (37 CFR 1.27)

The fees due for filing the application pursuant to 37 C.F.R. 1.16 and for recording the Assignment, if any, are determined as follow:

CLAIMS					
	No. of Claims		Extra Claims	Rate	Fees
Basic Application Fee (\$770 large entity; \$385 small entity)					\$ 770.00
Total Claims	17	Minus 20 =	0	X \$18 =	0
				X \$ 9 (small) =	0
Total Independent Claims	3	Minus 3 =	0	X \$86 =	0.00
If Multiple Dependent Claims are presented, add \$290.00 or \$145.00 (small)					
If Assignment enclosed, add Assignment Recording Fee \$40.00					40.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$810.00</b>

### **PAYMENT OF FEES**

The full fee due in connection with this communication is \$ 810.00  
 and is provided as follows:

\_\_\_\_ The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to **Deposit Account No:** \_\_\_\_\_. A duplicate copy of this authorization is enclosed.

\_\_\_\_ Check Nos. \_\_\_\_\_ for the above-specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No:** \_\_\_\_\_. A duplicate copy of this authorization is enclosed.

A Credit Card Authorization Form (PTO-2038) for the above-specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to **Deposit Account No: 50-2967**. A duplicate copy of this authorization is enclosed.

**REQUEST AND CERTIFICATION UNDER 35. U.S.C. 122(b) (2) (B) (i)**

\_\_\_\_ I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen month after filing. **I hereby request that the attached application not to be publish under 35 U.S.C. 122(b).**

This application is filed pursuant to 37 C.F.R. 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

LAW OFFICES OF PETER C. SU  
INTELLECTUAL PROPERTY ATTORNEY  
5201 GREAT AMERICA PARKWAY, SUITE 320  
SANTA CLARA, CA 95054

Tel: 408-850-7150  
Fax: 408-850-7151  
Email: psu@sulpp.com



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PATENT TRADEMARK OFFICE

Respectfully submitted,

  
PETER C. SU, ESQ.  
Reg. No. 43,939

April 1, 2004  
Date

Mail Stop: Patent Application  
Commissioner of Patents  
United States Patent and Trademark Office  
Alexandria, VA 22313-1450

Re: U.S. Utility Patent Application

Application No.: (Not yet assigned); Filed 04-01-2004  
Title: **“System and Method for a Compact Optical Receiver  
with Wide Dynamic Range”**  
Inventors: **Emmanuel AUDIC, Franck DEBLOCK, Elisabeth LECLERC, Gilles  
SORHOUETGARY, Alexandre SHERR and Henri Jean EGGER**  
Docket No.: **AVA-P045**

Sir:

The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:

1. U.S. UTILITY APPLICATION entitled: **“System and Method for a Compact Optical Receiver  
with Wide Dynamic Range”** having named inventors: **Emmanuel AUDIC, Franck DEBLOCK,  
Elisabeth LECLERC, Gilles SORHOUETGARY, Alexandre SHERR and Henri Jean EGGER**
  - a. a specification consisting:
    - (i) 12 pages prior to the claims, including title page;
    - (ii) 6 pages of claims;
    - (iii) 1 page abstract;
  - b. 6 sheets of **informal** drawings: (FIGs. 1-5);
2. An original, executed Combined Declaration and Power of Attorney by named inventors;
3. Form PTO-1082 (in duplicate);
4. Cover letter for Assignment (Form PTO-1595)
5. An original, executed Assignment to **AVANEX CORPORATION**, executed by named inventor, recordation of which is hereby requested;
6. Application Data Sheet (6 pages)
7. A return post card;
8. An Information Disclosure Statement (Form PTO-1449A and Form PTO-1449B);
9. A copy of References cited in Information Disclosure: 1 document(s); and
10. A Credit Card Authorization Form (PTO-2038) in the amount of \$810 to cover:

Patent application filing fee: \$ 770.00  
Assignment Recordation fee: \$ 40.00  
Excess claims fee: \$ 0.00

It is respectfully requested that the attached postcard be stamped with the filing date of the above documents and unofficial application number and returned to the addressee as soon as possible.

Respectfully submitted,

April 1, 2004  
Date



PETER C. SU, ESQ.  
Reg. No. 43,939

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